

**EXPRESS MAIL RECEIPT NO. ER769642391US  
DEPOSITED ON FEBRUARY 13, 2004**

**PATENT  
DKT. OKC00086.1**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent application of: **Douglas M. Carson**

for **IDENTIFIER TAG TO TRACK LAYERS IN A MULTI-LAYER OPTICAL DISC**, the specification of which is being transmitted herewith.

**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**INFORMATION DISCLOSURE STATEMENT**

**List of Sections Forming Part of This Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
3. Identification of Person(s) Making This Information Disclosure Statement

**Section 1. Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

## Section 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

PTO/SB/08A (08-03)

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Approved for use through 04/30/2003; OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>  Sheet <u>1</u> of <u>1</u>	<b>Complete if Known</b> Application No.: <u>Not Yet Assigned</u> Filing Date: <u>February 13, 2004</u> First Named Inventor: <u>Douglas M. Carson</u> Group Art Unit: <u>Unknown</u> Examiner Name: <u>Unknown</u> Attorney Docket Number: <u>OKC00086.1</u>
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### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document Number Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,303,225	Satoh, et al.	04-12-1994	
	AB	5,745,473	Best, et al.	04-28-1998	
	AC	6,141,299	Utsumi	10-31-2000	
	AD	6,370,091	Kuroda	04-09-2002	
	AE	6,421,315	Satoh, et al.	07-16-2002	

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Office <sup>3</sup> Foreign Patent Document Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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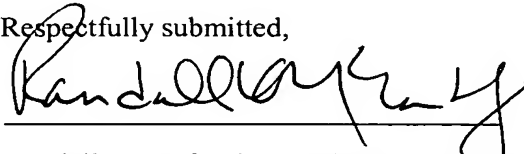
**Section 3. Identification of Person Making This Information Disclosure Statement**

The person making this certification is:

- a. the practitioner who signs below on the basis of the information supplied by the inventor(s) and in the practitioner's file.

Date: 2/13/04

Respectfully submitted,



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